

# UTILITY PATENT APPLICATION TRANSMITTAL (Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.  
BS99-185

Total Pages in this Submission

## TO THE ASSISTANT COMMISSIONER FOR PATENTS

Box Patent Application  
Washington, D.C. 20231

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application invention entitled:

**SYSTEM AND METHOD FOR DYNAMIC ALLOCATION OF CAPACITY ON WIRELESS NETWORKS**

and invented by:

**SAMUEL N. ZELLNER AND MARK J. ENZMANN**

If a CONTINUATION APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No.: 08/903,534

Which is a:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:

Which is a:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:

Enclosed are:

### Application Elements

1. ☒ Filing fee as calculated and transmitted as described below
2. ☒ Specification having 38 pages and including the following:
  - a. ☒ Descriptive Title of the Invention
  - b. ☒ Cross References to Related Applications (if applicable)
  - c. ☐ Statement Regarding Federally-sponsored Research/Development (if applicable)
  - d. ☐ Reference to Microfiche Appendix (if applicable)
  - e. ☒ Background of the Invention
  - f. ☒ Brief Summary of the Invention
  - g. ☒ Brief Description of the Drawings (if drawings filed)
  - h. ☒ Detailed Description
  - i. ☒ Claim(s) as Classified Below
  - j. ☒ Abstract of the Disclosure

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**(Large Entity)**

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**Application Elements (Continued)**

3. ☒ Drawing(s) *(when necessary as prescribed by 35 USC 113)*
- a. ☐ Formal                      Number of Sheets \_\_\_\_\_
- b. ☒ Informal                      Number of Sheets                      8
4. ☒ Oath or Declaration
- a. ☐ Newly executed *(original or copy)*                      ☒ Unexecuted
- b. ☐ Copy from a prior application (37 CFR 1.63(d)) *(for continuation/divisional application only)*
- c. ☐ With Power of Attorney                      ☐ Without Power of Attorney
- d. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application,  
see 37 C.F.R. 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference *(usable if Box 4b is checked)*  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied  
under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby  
incorporated by reference therein.
6. ☐ Computer Program in Microfiche *(Appendix)*
7. ☐ Nucleotide and/or Amino Acid Sequence Submission *(if applicable, all must be included)*
- a. ☐ Paper Copy
- b. ☐ Computer Readable Copy *(identical to computer copy)*
- c. ☐ Statement Verifying Identical Paper and Computer Readable Copy

**Accompanying Application Parts**

8. ☐ Assignment Papers *(cover sheet & document(s))*
9. ☐ 37 CFR 3.73(B) Statement *(when there is an assignee)*
10. ☐ English Translation Document *(if applicable)*
11. ☐ Information Disclosure Statement/PTO-1449                      ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Acknowledgment postcard
14. ☐ Certificate of Mailing
- ☐ First Class                      ☐ Express Mail *(Specify Label No.):* \_\_\_\_\_

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**(Large Entity)**

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**Accompanying Application Parts (Continued)**

15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)

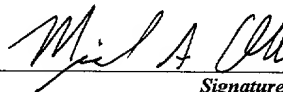
16. ☐ Additional Enclosures (please identify below):

**Fee Calculation and Transmittal**

**CLAIMS AS FILED**

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	46	- 20 =	26	x \$18.00	\$468.00
Indep. Claims	7	- 3 =	4	x \$78.00	\$312.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
BASIC FEE					\$690.00
OTHER FEE (specify purpose)					\$0.00
TOTAL FILING FEE					\$1,470.00

- ☒ A check in the amount of **\$1,452.00** to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. **03-3836** as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_ as filing fee.
- ☒ Credit any overpayment.
- ☒ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
- ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

  
Signature

**Michael A. Oblon**  
Reg. No. 42, 956  
**CROWELL & MORING LLP**  
1001 Pennsylvania Avenue, N.W.  
Washington, D.C. 20004-2595

Dated: **APRIL 28, 2000**

CC:

**APPLICATION FOR UNITED STATES LETTERS PATENT**

**by**

**SAMUEL N. ZELLNER  
MARK J. ENZMANN**

**for**

**SYSTEM AND METHOD FOR DYNAMIC ALLOCATION  
OF CAPACITY ON WIRELESS NETWORKS**

Crowell & Moring LLP  
1001 Pennsylvania Avenue, N.W.  
Washington, DC 20004  
(202) 624-2500

Attorney Docket No.: BS-99-185

**1701639**

# SYSTEM AND METHOD FOR DYNAMIC ALLOCATION OF CAPACITY ON WIRELESS NETWORKS

This application is a continuation-in-part application of U.S. Application

5 Serial No. 08/903,534, filed July 30, 1997 (the '534 application). The present application claims priority from the '534 application, which is incorporated herein by reference. This application also incorporates by reference U.S. Application Serial No. \_\_\_\_\_, filed April 28, 2000, U.S. Patent No. \_\_\_\_\_, Attorney Docket No. BS99-186.

## FIELD OF THE INVENTION

10 The present invention relates to wireless communication services, and more particularly, to a system and method for dynamic allocation of limited capacity on a wireless network.

## BACKGROUND OF THE INVENTION

15 Through the combination of wireless telephony and mobile computing technologies, digital wireless handsets are now available that can allow users to communicate with others by voice, e-mail, paging, facsimile, etc., and to transmit or receive information through the internet over a wireless network. Manufacturers of internet-capable telephones and personal digital assistants (PDA's) are coordinating with internet web site content providers to develop specialized versions of popular web sites for wireless access and interaction. In almost any location, while stationary or while in motion, consumers can use wireless networks to browse the

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world-wide web, participate in e-commerce transactions, join others through interactive game software, or communicate with others by voice or by other means through transmission of data.

In cellular communications over circuit-switched wireless networks, a link is established through radio signals over channels between a mobile transmitter/receiver and a nearby cell tower. Circuit-switched networks maintain a continuing or dedicated connection throughout the duration of a communication. This is known to be inefficient for “bursty” type communications, because the dedicated lines occupy the limited bandwidth in the network for the duration of the communication, even during periods of time when information signals are not being transmitted. The circuit-switched protocol is also inefficient for voice communications, because there are gaps of silence in most voice communications in which no signal needs to be transmitted.

In packet switched networks, data is divided into ‘envelopes’ of information that contain address, error checking, and user data. If a data communication is not continuous, as is typically the case, the communications link can be occupied by packets from other communications during the periods of downtime. In a packet switched wireless network, information is transmitted to and from mobile transmitter/receivers through radio signals in the format of discrete packets, instead of through a continuous communications link. The packets are assembled together when received to reconstruct the communication, which is a “transaction.” Therefore, portions of multiple different data communications can be transmitted

along the same communications link, instead of waiting for one communication to end before another can begin.

For wireless communications, a bottleneck occurs during busy periods that can slow down communications because there are a limited number of access points into the wireless network. When a packet switched wireless network is operating near capacity, the mobile transmitters/receivers may have to wait before sending or receiving packets for communications. While a transmission delay may not be significant for an e-mail communication or a file transfer, the delay is probably not acceptable for voice-over-IP telephony or other time-sensitive communications. Accordingly, problems exist when sending or receiving urgent data over packet switched wireless networks during busy periods of network congestion.

## SUMMARY OF THE INVENTION

The present invention allocates network access for transactions in a wireless network according to the priority levels associated with the transactions. When all access links are occupied on the wireless network, an access control manager in the wireless network discontinues transmission of a low priority transaction in favor of a higher priority transaction. When a transaction is discontinued, the transaction data that was not transmitted is maintained in a data buffer. When the transaction is resumed later, the remaining transaction data is removed from the data buffer. There can be data remaining in the data buffer from several different transactions

of different priorities. Requests to resume transactions occur in an order according to the relative priority designated for the different transactions in the data buffer.

A wireless handset, or a wireless communications device, generates transactions through user applications operating in the handset. The transactions  
5 can have a default priority level, or the priority levels can be selected by the user. E-mail and voice-over-IP transactions are discussed, wherein the transaction is periodically discontinued, or suspended, in favor of a higher priority transaction.

In accordance with the present invention, a wireless communication system is described for allocating limited network access according to priorities designated  
10 for requested transactions of wireless communications. The wireless network has a number of access links for transmitting transactions for wireless communications. A plurality of wireless communications devices request transmission of transactions on the wireless network. A designated priority level is associated with each transaction. In response to the transaction requests, an access control manager in  
15 the wireless network schedules transmission of transactions when all of the plurality of access links are occupied, by authorizing a transmission of a transaction of higher priority than another transaction that is being transmitted, and discontinuing the transmission of the transaction of lower priority.

The present invention also provides a wireless communications device for use  
20 in a wireless communication system. The communications device includes at least one user application configured to send data to a wireless network or receive data from the wireless as part of a transaction. A data buffer in the wireless



communications device stores transaction data to be sent by the user application before transmission along the wireless network. A communications manager in the wireless communications device generates a control message to be transmitted to the wireless network to request transmission of a transaction. The control message includes an identification code for the wireless communications device and a priority level associated with the transaction.

## BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 is a schematic diagram of a wireless communication system according to the preferred embodiment of the present invention.

FIG. 2 is a flow diagram of the steps for configuring a transaction for transmission on the wireless network according to the preferred embodiment of the present invention.

FIG. 3 is a flow diagram of the steps for transmitting a transaction on the wireless network from a wireless communications device according to the preferred embodiment of the present invention.

FIG. 4 is a flow diagram of the steps for allocating capacity on the wireless network for transmission of data packets to a wireless communications device according to the preferred embodiment of the present invention.

FIG. 5A is a chart of transmission priority levels allocated for an e-mail application by a network, a user application, and a consumer according to the present invention.

FIG. 5B is a chart of transmission priority levels allocated for a file transfer application by a network, a user application, and a consumer according to the present invention.

FIG. 5C is a chart of transmission priority levels allocated for a voice-over-IP application by a network, a user application, and a consumer according to the present invention.

FIG. 6A is a table of steps for transmitting data packets across a wireless network for an e-mail application according to the preferred embodiment of the present invention.

FIG. 6B is a table of steps for transmitting data packets across a wireless network for a voice-over-IP application according to the preferred embodiment of the present invention.

FIG. 7 is a table of steps for transmitting data packets across a wireless network from a plurality of user applications in a wireless communications device.

## **DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS OF THE INVENTION**

FIG. 1 is a schematic diagram of the multi-level wireless network service of the present invention. Three mobile transmitter/receiver handsets 10, 11, and 12 are physically located in close proximity to cell tower 13, which is part of a packet switched wireless network. Each handset is capable of supporting at least one or any number of applications, and contains a queue, or buffer, for temporarily storing data packets to be communicated to cell tower 13. A communications manager in

the handset stores control information to be transmitted to cell tower 13 to initiate a wireless communication. For example, Handset A 10 is capable of running at least three applications, App. A1, App. A2, and App. A3, labelled 10a-10c, respectively, and contains queue 10d and communications manager 10e. Cell tower 13 transmits and receives radio frequency signals within a general region or cell.

The handsets may be portable, such as cellular telephones, PDA's, or portable game systems, or any other mobile communications unit. However, the present invention can also be used with any device capable of engaging in wireless communications, which may also be fixed, or integrated into an immovable object.

Cell tower 13 is in communication with access control manager 14. Access control manager 14 communicates with a plurality of cell towers to control access to the wireless network. Access control manager 14 may be regionally located, as one of several access control managers on a network, or may be centrally located for the entire network. Access control manager 14 communicates with access buffer 15, which stores data received from the internet 16 before it is transmitted on the wireless network. The internet can be operatively connected to a variety of servers, such as interactive game server 17, e-mail server 18, and voice-over-IP telephone server 19.

The applications supported by the handsets are configured to communicate with the wireless network at one or more priority levels. The applications may include services such as e-mail, instant mail, unified messaging, short messaging, paging, world-wide web browsing, pointcast, interactive action games, internet

telephony, internet facsimile, file transfer, etc. The priority levels are used by the access control manager 14 in the wireless network to determine the relative order of access to the wireless network for different applications during periods of congestion. Each application may have a default priority level. For example, internet telephony applications, which require rapid transmission of data packets in order to carry a natural-sounding voice communication, will likely have a higher default priority level than an e-mail application, which can usually be delayed without a noticeable effect.

To provide dynamic quality of service adjustments, many applications can be configured to operate at several different priority levels according to the relative importance of a particular transaction to a user. As an example, a user may request to send an e-mail at a higher priority than the default level, when the e-mail contains time sensitive information and the delivery time is critical. By charging users for access to the network according to the priority level for each transaction, the users have a financial incentive to reserve designating high priority transactions for applications for which quick transmission is important. The priority levels can also be associated with control mechanisms in other networks, both wired and wireless, to provide end-to-end quality of service capability. For example, for wireless devices that are capable of Internet access, the priority levels can affect the quality of service across both the wireless network and the Internet network.

FIG. 2 provides a flow diagram of the steps for initiating a transaction from an application in a handset to transmit data along the wireless network, from the perspective of a controller in a handset. In step 20, a transaction request is received from a user application in a handset. As discussed above, this transaction request could relate to sending or receiving an e-mail, engaging in voice-over-IP, receiving a file transfer, etc. The handset next detects in step 21 whether the transaction that is requested is at the default priority or at a user-specified priority. A control packet(s) is configured according to the requested priority or default priority level in steps 22 and 23, respectively. The control packets might include bits for any or all of the following: establish connection, desired data rate, priority level, symmetric or asymmetric transmission, encryption on/off, acceptable delay, estimated quantity of data to transmit or expect duration, destination point of session (IP address), originating device ID, etc.

In step 24, the data in the user application that is to be transmitted is transferred or coded as a series of packets and placed into a queue in the handset. In step 25, the control packet(s) is then transmitted by a radio signal to the nearby cell tower to send a transaction request to the access control manager. The control packet includes an identification code for the handset and the priority level for the transaction.

If, from the user's perspective, the transaction is a single event, such as sending an e-mail communication, the handset allows the user to engage in other activities once the e-mail communication is placed in the queue. The subsequent

transmission of the plurality of data packets in the queue, either individually or in groups, can occur transparently to the user. When the last data packet is transmitted over the wireless network, the user will be notified that the transaction was successful. On the other hand, if the transaction request is for a series of transmissions, such as in a voice communication, the handset will provide an indication when the user has the requested access to the network.

FIG. 3 describes how the wireless service responds to the transmission request. In step 30, the cell tower receives a control packet transmitted by the handset. Generally, the cell tower reserves capacity for transmission of control packets between itself and the handsets to indicate when authorization is granted for transactions. However, when the cell tower is completely at or beyond full capacity, handsets may temporarily be unable to transmit control packets to the cell tower, which will be indicated by a “busy” signal during those times.

The cell tower forwards a control packet with a transmission request to the access control manager in step 31. The control manager then performs an evaluation of the existing capacity on the wireless network, in step 32. If there is an available access link, the requested capacity is allocated to the handset for the transaction, in step 33. The access control manager then sends access authorization to the corresponding cell tower to be transmitted to the handset in step 34. The handset begins transmission of the data packets in its queue, which are received by the cell tower in step 35. The packets are then forwarded to the Internet in step 36,

and a confirmation is sent to the handset to complete the transmission for the transaction.

When there are no available access links on the wireless network, the access control manager next evaluates in step 38 whether there are any occupied access links that are transmitting packets for transactions of lower priority than the pending transaction request. If there is a lower priority transmission occurring, the access control manager performs a series of operations, in step 37, to discontinue the lower priority transaction in favor of a higher priority transmission. A signal is sent via a control packet to the handset that is engaged in the lower priority data packet transmission. The signal indicates to that handset transmitter/receiver that access to the wireless network is suspended. The access control manager then allocates the requested capacity for the higher priority transmission, in step 33, and authorizes the handset to send data packets in step 34. The wireless network then receives the data packets in step 35, which are forwarded to the internet in step 36.

If there is no lower priority transmission that is occurring, the access control manager sends a data packet to the requesting handset to deny the request for an access link. A handset whose transaction is discontinued in favor of a transaction with higher priority operates in the same manner as a handset that is denied access from the outset. The wireless network can be configured in several manners for responding to a denial of network access, as will be further described below.

As shown in FIG. 4, the wireless network handles transmission of data packets that are incoming to the handsets from the internet in a similar manner to

the outgoing transmission as described above. In step 40, the access buffer receives data packets from the internet. The control packet for this data is forwarded to the access control manager in step 41. The control manager then performs an evaluation of the existing capacity on the wireless network, in step 42. If there is an available access link, the requested capacity is allocated to the access buffer for the transaction, in step 43. An authorization signal is sent to the buffer in step 44, the data packets are forwarded to the cell tower in step 45, and the cell tower transmits the data packets over the wireless network for reception by the handset, in step 46.

When there are no available access links on the wireless network, the access control manager next evaluates in step 48 whether there are any access links that are transmitting packets for transactions of lower priority than the pending transaction request. The priority level designated in a control packet for a transaction in the access buffer can be forwarded by the sender over the internet, or it may be the default level for the type of application that corresponds with the data packets. If there is a lower priority transmission occurring, the access control manager discontinues the lower priority transaction in favor of a higher priority transmission, in step 37. Access is then granted to the access buffer according to steps 43-46, as previously described. If there is no lower priority transmission that is occurring, the access control manager denies the request by the buffer for an access link in step 49.



There are several possible configurations for re-requesting transmission of a transaction by either a handset or the access buffer after access is denied or discontinued. For handsets, each of these configurations are performed in the background of a handset's operation, during which time the handset can be used for other applications, including other transactions requiring wireless transmission of data.

In response to a denial of access for a transaction, the handsets in the network can be designed to send a new request for access after a given period of delay. Depending upon the congestion that is typically faced by the network, the new requests are transmitted after a few seconds, a minute, ten minutes, etc. If the handset is moved into a new cell site, the subsequent request after a period of delay is transmitted to the new cell tower, which may be operating at a different capacity level.

As an alternative to receiving repeated requests by the handsets and access buffer, the access control manager could broadcast a message after a period of time that describes the current capacity at each cell tower. The handsets that are denied access wait to send a request for an access link until the broadcast message is received. Once the broadcast message is received, the handsets send requests for access in accordance with the broadcast message.

An additional alternative is to provide an access request queue residing at the access control manager to register the denied handset requests. For each denied request, the access request queue stores the priority level for the requested

transaction and an identity code for the handset. When access for the requested transaction becomes available, the access control manager sends an authorization to the handset to commence the transaction. If the handset has since been moved to a different cell site, the authorization signal is sent to the appropriate cell tower  
5 corresponding to the location of the handset.

The wireless network can also include a network traffic capacity monitor to inform users as to whether it is necessary to select a higher priority level to gain effective access for a transaction. Each time that the network evaluates whether there is capacity at a cell site for a transaction of a certain priority level, the access  
10 control manager pools all of the access links to determine the capacity on the network. This information can then be used for sending a broadcast message to the handsets. As an example, consider a network priority implementation that determines access based upon five priority levels. The access control manager might broadcast a warning message to handsets when the network has not accepted  
15 a level 3 communication for over five minutes. Continuing with this example, if a user is about to send an e-mail message at level 3, the handset can suggest that the user consider sending the message with a higher priority. Even if the broadcast message merely indicates whether a cell site is “at capacity,” “busy,” or “not busy,” a user can benefit from this information in determining whether to wait before  
20 engaging in a wireless transaction.

To assist the user in monitoring workflow, the handsets can provide an indicator that shows the percentage of data packets that were transmitted from the

queue. If a user is not satisfied with the current access level to the wireless network, the handset can reconfigure the control packet associated with the transaction to transmit the remaining packets in the queue with a higher priority level. For example, if a user unsuccessfully requested to transmit an e-mail message at a low priority level, the user may wish to upgrade the transmission of the e-mail at higher priority. Perhaps at the higher priority level, the access control manager will immediately transmit the remaining packets in the queue. Thus, the quality of service over the wireless network can be changed dynamically, during an individual transaction.

When access for all or part of a transaction has been denied, a user might instead decide to cancel the delivery. For example, if a majority of the packets for an e-mail has remained in the handset queue for a long time, the user may wish to cancel the e-mail and call the intended recipient instead. To incorporate this feature, the handset allows the user to access the queue. The user can choose to delete a transaction, remove the transaction from the queue, or reschedule a transmission of the transaction for a specific time.

Each application in the handsets is configured to be run at a certain one or more priority levels. An example is provided illustrating how available priority is configured for an e-mail application, a file transfer application, and voice-over-IP in FIGs. 5A, 5B, and 5C, respectively.

FIG. 5A provides an example of how the available priority levels may be determined for an e-mail application. The wireless network provider has four

possible priority levels, and allows the e-mail to be transmitted at any of priority levels 2, 3, or 4, with the default at level 3. In this example, the carrier/service provider does not allow level 1 priority for e-mail communications to reserve the bandwidth for voice communications. The application developer designed the e-mail application to send e-mails at any of the first three priority levels. Presumably, the lowest priority level is not available because the e-mail application cannot run effectively with low priority. The customer, as part of the service plan with the carrier provider, has pre-designated priority levels 2 and 3 for selection. It may be possible that the customer's service agreement provides for a certain number of e-mail transmissions at each of levels 2 or 3, perhaps as part of a fixed monthly fee. Considering the available priority levels common to the carrier, application developer, and customer, a user can select transmission of an e-mail along the wireless network designated as priority level 2 or 3.

FIG. 5B provides an example of how the priority levels are determined for a bulk download of a large file or document from an internet web site. The wireless carrier only allows bulk file transfers to be configured as level 4 priority. Otherwise, the bulk download will overly tax the wireless servers, creating a delay for many other applications. The application developer designed its browser to allow file transfers at any of the priority levels. The customer, as part of the service plan with the carrier, can only pre-designate priority level 4 for selection. Accordingly, the file transfer is to be sent as level 4 priority.

FIG. 5C is an example of a configuration of priority levels for voice-over-IP telephony. The carrier allows voice traffic over the wireless network at any of the four priorities. However, in reality, an application developer can only implement voice-over-IP if the packets are transmitted over levels 1, 2, or 3. Level 3 priority is actually only useful for voicemail, because the transmission of the data packets may be separated by a minute or more, which would be completely ineffective for a normal two-way speech conversation. The application developer configures the application with level 2 as the default. For one-way speech communications such as voice mail, the system could operate at a lower priority level by gradually reassembling or reconstructing the message as packets are received. The customer, who may wish to utilize voice-over-IP for telephony and voice mail services, preconfigures the system to allow a choice between levels 1, 2, or 3.

FIGs. 6A and 6B illustrate the transmission of data packets across the wireless network for an e-mail communication and a voice-over-IP communication, respectively. Because a speech communications requires bi-directional data transmission, as opposed to transmission in a single direction, the wireless network allocates capacity differently for voice-over-IP, as opposed to e-mail or other unidirectional communications. Voice-over-IP is also different because the system needs to reserve capacity for transmission of a certain number of data packets per second in order to assure a reasonable speech quality.

FIG 6A is a table illustrating the steps of transmitting an e-mail from a handset in the wireless network according to the preferred embodiment of the

invention. In the example provided in this table, the e-mail has a level 2 priority, and the network is almost at full capacity. Because the e-mail is designated for level 2 priority, the communication can be temporarily discontinued if the network is at full capacity and the network receives a request for a higher priority

5 transaction. In this example, the e-mail communication is composed of five data packets, stored in the queue. The communication is interrupted once in view of a higher priority transaction.

At time 1, the handset sends a control packet to the cell tower to request capacity for a level 2 priority communication. The access control manager evaluates the capacity at time 2, discontinues a lower priority transaction to allow for the e-mail communication at time 3, and sends authorization to the handset at time 4. At times 5-7, the handset removes data packets of the e-mail communication from the handset queue for transmission over the wireless network. In the meantime, the cell side receives a request for a higher priority level 1 communication. Because the network is at full capacity at this time, the cell side generates a discontinue message to the handset at time 8, and provides access for another transaction at time 9. The network also completes another level 2 transaction at time 9, and broadcasts the available capacity for a level 2 communication at time 10. The handset sends a transmission request at time 11, receives authorization at time 12, and completes the transmission of the data packets for the e-mail communication at times 13 and 14. Both the handset queue side and the cell side require acknowledgement packets indicating receipt of data packets (not shown in FIG. 6A).

FIG. 6B is a table illustrating the steps of transmitting a bidirectional voice-over-IP communication in the wireless network according to the preferred embodiment of the invention. In the example provided in this table, the application has a level 1 priority. However, because the voice communications do not require constant transmission, a level 1 priority voice communication can be interrupted for short time periods, e.g., when there are gaps in the speech communication. Therefore, when the handset ("vocoder handset") periodically omits sending data packets, the capacity is filled by a transaction of a lower priority communication.

At time 1, the vocoder handset sends a control packet to request a level 1 priority voice communication. At time 2, the access control manager sends authorization to the vocoder handset to begin the voice communication. At time 3, the vocoder handset begins sending data packets for the transaction. At the same time, another handset receives authorization to transmit a data packet along the same access link. At time 4, the vocoder handset does not send a data packet, but another handset sends a data packet. At time 5 the vocoder handset resumes sending data packets, while yet another handset sends a request for a transaction. At time 6, the vocoder handset receives data packets from the internet. At the same time, the other handset receives authorization to initiate its transaction. At step 7, the vocoder handset again omits from sending a data packet, while the other handset begins sending data packets. This process can continue until the completion of the voice communication.

FIG. 7 provides another table illustrating the steps of transmitting data packets for several different transactions, of different priorities, generated in the same handset. In the table of FIG. 7, transaction "A" is of priority level 2, transaction "B" is of priority level 3, and transaction "C" is of priority level 1. Each occurrence of "A," "B," or "C" may represent a single data packet for that transaction, or a group of data packets from that transaction.

At time 1 in FIG. 7, the handset requests capacity to send data packets for a priority level 2 communication. The user has already generated the data to be transmitted and the handset has sent the data to the handset queue as data packets "A." The access control manager sends authorization for the transmission at time 2. At the same time, the handset places data packets "B" into the queue. Since data packets "B" are of a lower priority than data packets "A," the data packets "B" are at the end of the queue (from right to left). At times 3 and 4, the handset sends data packets "A" to the cell side. At time 5, the handset receives a discontinue message to stop sending level 2 priority packets. The handset next places data packets "C" of level 1 priority into the queue at time 6, and requests access for a level 1 priority communication. The handset receives authorization to transmit at time 7, and sends data packet "C" at time 8. This operation continues until the queue is empty or the handset is turned off.

Thus, it is readily seen that the method and system of the present invention provides for improved access for transmission of data packets of transactions from a handset over a packet switched wireless network when many of the transactions are



of differing levels of priority and urgency, and there is limited capacity on the wireless network. The system authorizes wireless communications devices to transmit and receive data packets until another request is received with higher priority. Within each handset, transactions that are pending are scheduled

5 according to priority within the handset. This system allows for quality of service over the wireless network by allocating the resources according to urgency. The network will likely charge more for higher priority transactions.

The foregoing disclosure of embodiments of the present invention and specific examples illustrating the present invention have been presented for purposes of illustration and description. It is not intended to be exhaustive or to limit the invention to the precise forms disclosed. Many variations and modifications of the embodiments described herein will be obvious to one of ordinary skill in the art in light of the above disclosure. The scope of the invention is to be defined only by the claimed appended hereto, and by their equivalents.

**WHAT IS CLAIMED IS:**

1. A wireless communications system for allocating network access according to priorities designated for requested transactions of wireless communications, comprising:

5 (a) a wireless network having a plurality of access links for transmitting transactions;

(b) a plurality of wireless communications devices for requesting transmission of transactions on the wireless network, wherein a designated priority level is associated with each transaction; and

10 (c) an access control manager for scheduling transmission of transactions, when all of the plurality of access links are occupied, by:

i) identifying a transaction that is being transmitted over the wireless network and is of lower priority than a requested transaction;

15 ii) discontinuing the transmission of the transaction of lower priority; and

iii) authorizing the transmission of the requested transaction.

2. The wireless communications system according to claim 1, further comprising a data buffer in at least one of the wireless communications devices for  
20 storing transaction data,

wherein transaction data is removed from the data buffer as the data is transmitted, such that data that is not transmitted prior to discontinuation of a transaction remains in the data buffer.

5           3.     The wireless communications system according to claim 2, wherein  
a wireless communications device requests transmission of a discontinued  
transaction until the transaction data remaining in the data buffer is transmitted.

10           4.     The wireless communications system according to claim 2, further  
comprising a plurality of user applications operating on a wireless communications  
device for generating transactions, at least one user application generating a  
transaction of a higher priority than a transaction generated by another user  
application,

15           wherein the wireless communications device requests transmission of the  
higher priority transaction before requesting transmission of the lower priority  
transaction.

20           5.     The wireless communications system according to claim 4, wherein  
data from the higher priority transaction is removed from the data buffer before  
data from the lower priority transaction.

6. The wireless communications system according to claim 4, wherein transactions of different priorities are generated by a user application in the wireless communications device, and the wireless communications device requests transmission of the transactions according to priority when data from transactions of different priorities remains in the data buffer.

7. The wireless communications system according to claim 4, wherein each of the plurality of user applications generates transactions according to a default priority level.

8. The wireless communications system according to claim 4, wherein at least one of the plurality of user applications generates transactions according to a priority level selected by a user from a plurality of priority levels, and wherein one of the plurality of priority levels is a default priority level.

9. The wireless communications system according to claim 1, further comprising a communications manager in at least one of the wireless communications devices for generating a control message for transmission to the access control manager to request transmission of a transaction, wherein the control message includes an identification code for the wireless communications device and the priority associated with the requested transaction.

10. The wireless communications system according to claim 9, wherein the wireless network is a packet-switched network, and a transaction is composed of a plurality of packets transmitted and received by the wireless communications devices, and a control message is transmitted as at least one packet.

5

11. The wireless communications system according to claim 1, further comprising an access buffer in communication with the access control manager for storing transaction data to be transmitted over the wireless network to at least one of the wireless communications devices, and for storing priority information for the transaction data stored in the buffer,

wherein transaction data is removed from the access buffer as the data is transmitted to the wireless communications devices, such that data that is not transmitted prior to a discontinuation of a transaction remains in the access buffer.

12. The wireless communications system according to claim 10, wherein transaction data from higher priority transactions is removed from the access buffer before data from lower priority transactions.

13. The wireless communications system according to claim 1, wherein the priorities are associated with control mechanisms in other networks linked to the wireless network for a communication, for allocating priority end-to-end for each segment of the communication.

14. A wireless communications device for use in a wireless communications system, comprising:

(a) at least one user application operating on the wireless communications device, the user application configured to send data to a wireless network or receive data from a wireless network as part of a transaction;

(b) a data buffer for storing transaction data to be sent by the user application before transmission along the wireless network; and

(c) a communications manager in the wireless communications device for generating a control message to be transmitted to the wireless network to request transmission of a transaction, the control message including an identification code for the wireless communications device and a priority level associated with the transaction.

15. The wireless communications device according to claim 14, wherein the priority associated with the transaction is one of multiple levels of priority, and the wireless network authorizes or denies transmission of the transaction from the user application according to the priority level associated with the transaction.

16. The wireless communications device according to claim 14, further including at least one input interface for a user to select a level of priority from one of several levels of priority.

17. The wireless communications device according to claim 14, further comprising a user interface for indicating whether there is congestion affecting transmission of transactions on the wireless network.

5 18. The wireless communications device according to claim 15, wherein the data buffer stores transaction data from multiple transactions, and the communications manager requests transmission of the transaction having the highest priority level.

10 19. The wireless communications device according to claim 15, wherein the wireless communications device discontinues the transmission of a transaction in response to a signal from the wireless network.

15 20. The wireless communications device according to claim 14, wherein the transmission of a first transaction is discontinued when the wireless network is at full capacity and the wireless network receives a request for a second transaction having higher priority.

20 21. The wireless communications device according to claim 20, wherein data that is part of the first transaction is removed from the data buffer as the data is being transmitted, and data that is not transmitted prior to discontinuation of the first transaction remains in the data buffer.

22. The wireless communications device according to claim 21, wherein the communications manager requests transmission of a discontinued transaction until the data remaining in the data buffer is transmitted.

5 23. The wireless communications device according to claim 19, wherein the communications manager requests transmission of a discontinued transaction after receiving a signal from the wireless network that there is capacity for a transaction having a lower or same priority as the discontinued transaction.

10 24. The wireless communications device according to claim 14, wherein the user application generates transactions according to a priority level selected by a user from a plurality of priority levels, and wherein one of the plurality of priority levels is a default priority level.

15 25. The wireless communications device according to claim 14, wherein the wireless communications system is on a packet-switched wireless network, and a transaction is composed of a plurality of packets transmitted and received by the wireless communications device, and a control message is transmitted as at least one packet.



26. A method for allocating access to a wireless network according to priorities designated for requested transactions of wireless communications, comprising the steps of:

(a) receiving a request for transmission of a transaction on the wireless network, the request including a designated priority level associated with the transaction;

(b) evaluating network capacity of the wireless network by determining whether there is an available access link;

(c) authorizing a transmission if the requested transaction is of higher priority than another transaction that is being transmitted when there is no available access link in the wireless network; and

(d) discontinuing the transmission of the transaction of lower priority.

27. The method for allocating access according to claim 26, further comprising the steps of:

transmitting an authorization message to authorize transmission of the requested transaction of higher priority; and

transmitting a termination message to discontinue transmission of the transaction of lower priority.

28. The method for allocating access according to claim 27, wherein the transmission request is communicated by either a wireless communications device, which generates transactions, or an access buffer, which receives transaction data to be transmitted to the wireless communications device.

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29. The method for allocating access according to claim 28, wherein the wireless network is a packet-switched network, and

a transaction is composed of a plurality of packets transmitted and received by the wireless communications device, and

10 a transmission request is composed of at least one packet that includes an identification code, if the transmission request is transmitted by the wireless communications device, and a priority level associated with the requested transaction.

30. A method for transmitting a transaction generated in a wireless communications device over a wireless network, comprising the steps of:

(a) designating a priority selected from one or more priority levels for the transmission of the transaction;

(b) generating a transmission request in the wireless communications device, the request including an identification code for the wireless communications device and the priority level for transmission of the transaction;

(c) placing transaction data to be transmitted over the wireless network into a data buffer in the wireless communications device; and

(d) transmitting the transmission request to an access control manager in the wireless network to request authorization for transmission of the requested transaction.

31. The method for transmitting a transaction of claim 30, further comprising the steps of:

(e) receiving an authorization message to transmit transaction data over the wireless network; and

(f) transmitting transaction data from the data buffer corresponding to the authorized transaction until the wireless communications device either completes the transmission of the transaction or receives a notification from the wireless network to discontinue transmission.

32. The method for transmitting a transaction of claim 31, wherein transaction data is removed from the data buffer as the data is transmitted, such that data that is not transmitted prior to discontinuation of a transaction remains in the data buffer.

5

33. The method for transmitting a transaction of claim 32, wherein the wireless communications device requests transmission of the discontinued transaction until the transaction data remaining in the data buffer is transmitted.

34. The method for transmitting a transaction of claim 33, wherein the transaction is generated by one of a plurality of user applications operating on the wireless communications device, said user applications generating transactions of different priorities, and wherein the wireless communications device transmits a transmission request for a higher priority transaction before requesting transmission of a lower priority transaction.

35. The method for transmitting a transaction of claim 34, wherein data from the higher priority transaction is removed from the data buffer and transmitted before data from the lower priority transaction.

20

36. A method of transmitting a transaction over a wireless network to a wireless communications device, comprising the steps of:

(a) placing transaction data received from a server into an access buffer in the wired network, wherein the transaction data is from a transaction having a designated transmission priority level;

(b) requesting transmission of the transaction data to an access control manager in the wireless network; and

(c) transmitting the transaction data from the access buffer to the wireless communications device when there is either an available access link on the wireless network or another transaction being transmitted at a priority level lower than the designated transmission priority level for the transaction;

wherein the transaction of a lower priority level is discontinued to allow for transmission of a transaction at a higher priority level.

37. The method of transmitting a transaction according to claim 36, wherein data from higher priority transactions are removed from the access buffer and transmitted before data from lower priority transactions.

38. A method of transmitting an e-mail communication from a wireless communications device to an internet e-mail server, comprising the steps of:

(a) designating a transmission priority level for the e-mail communication,  
5 selected from one or more priority levels;

(b) placing data from the e-mail communication into a data buffer in the wireless communications device;

(c) transmitting a transmission request to an access control manager in the wireless network to request authorization for transmission of the e-mail communication at the designated transmission priority level;  
10

(d) receiving an authorization message to transmit e-mail communication data over the wireless network;

(e) transmitting e-mail communication data from the data buffer corresponding to the authorized transaction until the wireless communications device either completes the transmission of the transaction or receives a notification from the wireless network to discontinue transmission.  
15

(f) placing e-mail communication data transmitted over the wireless network into temporary memory space in the wireless network; and

(g) sending the e-mail communication on the internet to the e-mail server  
20 once all e-mail communication data is placed into the temporary memory space.

39. The method of transmitting an e-mail communication according to claim 38, wherein the designated transmission priority level is user-selected from a group of transmission priority levels.

5 40. The method of transmitting an e-mail communication according to claim 38, further comprising the step of re-transmitting a transmission request to the access control manager to request authorization for transmission of the e-mail communication after receiving a notification to discontinue transmission.

10 41. The method of transmitting an e-mail communication according to claim 40, further comprising the step of re-designating a transmission priority level for the e-mail communication after receiving a notification to discontinue transmission,

15 wherein the re-transmitted transmission request to the access control manager is for transmission at a higher priority level.

42. The method of transmitting an e-mail communication according to claim 38, further comprising the step of receiving a confirmation message when the transmitted e-mail communication is sent on the internet.

43. A method of transmitting data packets of voice communications on a wireless network according to a priority designated for the communication, comprising the steps of:

(a) receiving a request for transmission of data packets of a voice communication at the designated transmission priority level from a wireless communications device;

(b) transmitting an authorization message to the wireless communications device to transmit and receive data packets over an access link in the wireless network at a predetermined rate; and

(c) transmitting data packets at the predetermined rate between the wireless communications device and a voice communications server on the access link, and transmitting data packets from other wireless communications transactions on the access link during periods of time when there are gaps in the transmission of the communication; and

(d) suspending transmission of the data packets when a request is received for a wireless communication transaction that is at a higher priority level than the voice communication being transmitted.

44. The method of transmitting data packets of voice communications according to claim 43, further comprising the step of sending data packets transmitted from the voice communication device to a voice communications server.



45. The method of transmitting data packets of voice communications according to claim 43, further comprising the step of receiving data packets of a voice communication from a voice communication server for transmission to a voice communication device.

5

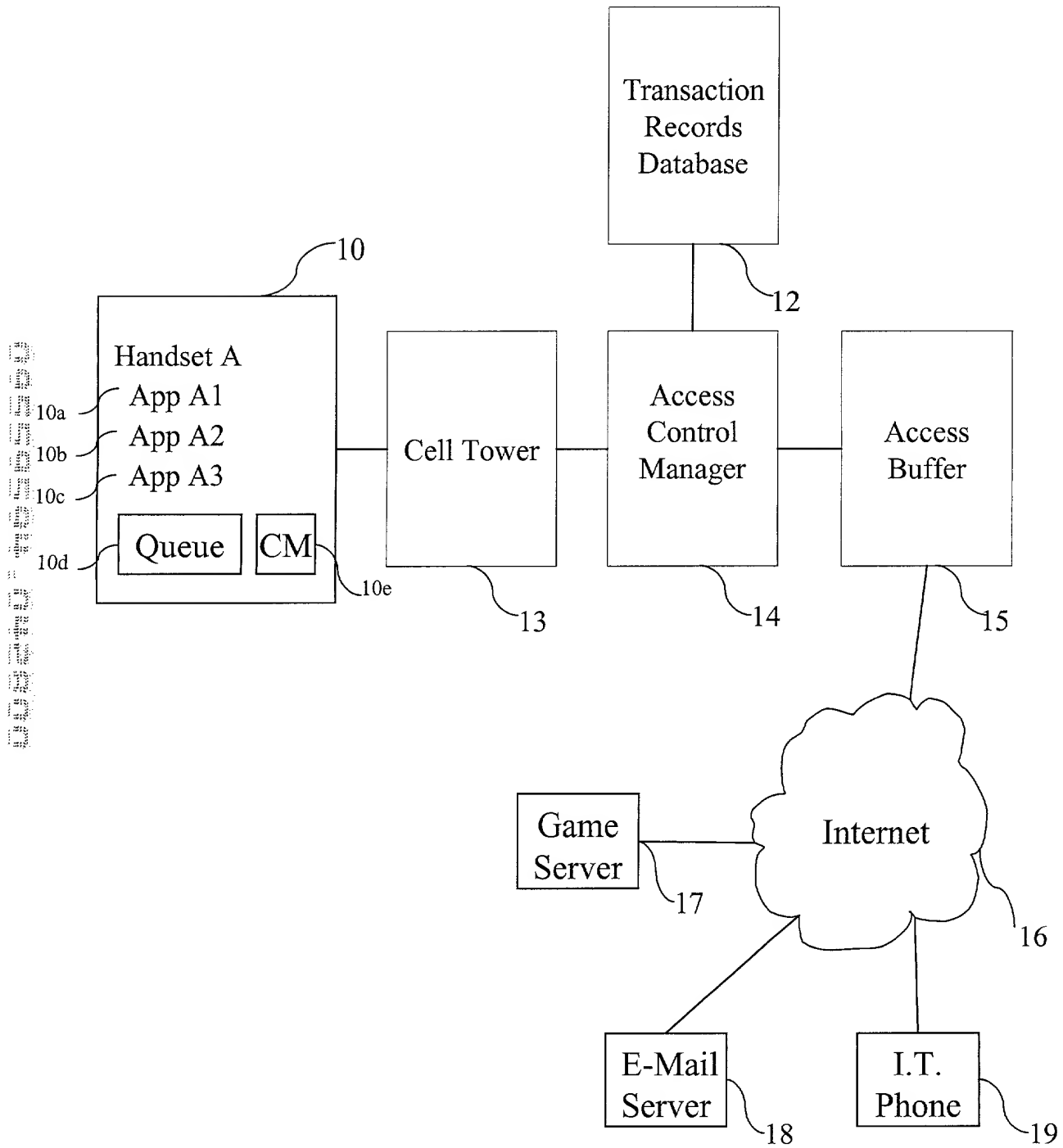
46. The method of transmitting data packets of voice communications according to claim 43, wherein the designated transmission priority level is user-selected from a group of transmission priority levels.

10

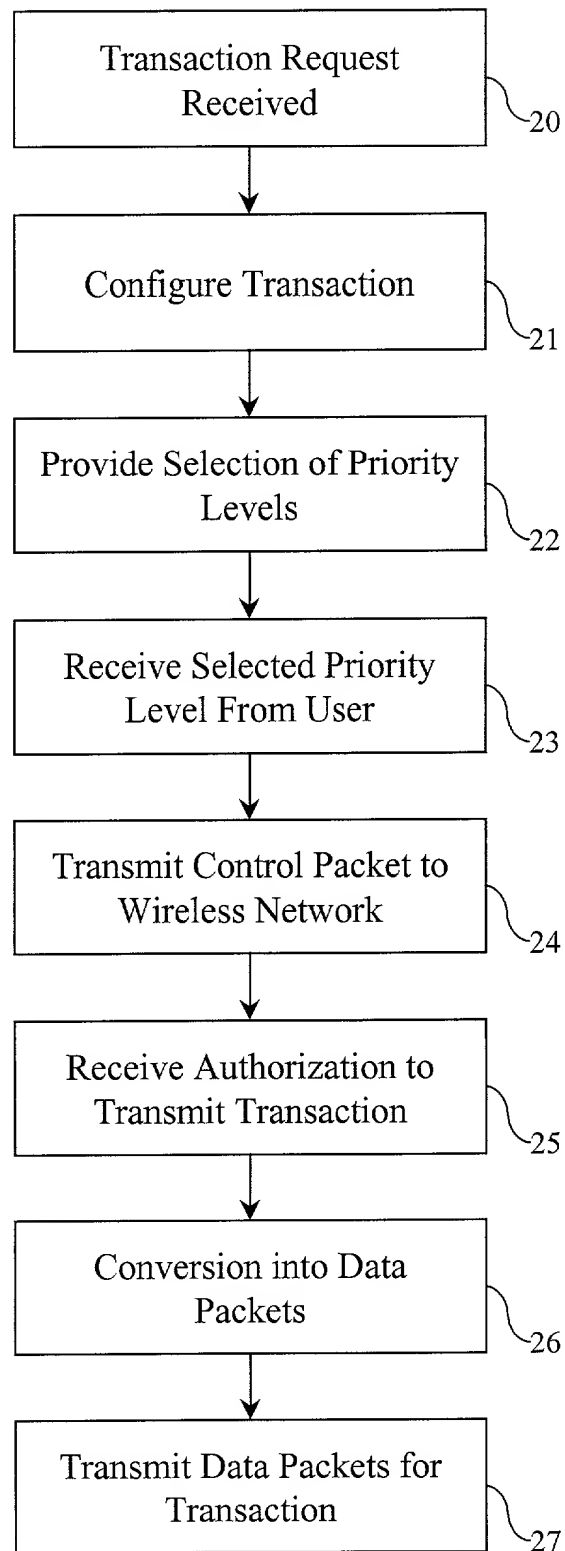
## ABSTRACT

A wireless communication system is described for allocating limited network access according to priorities designated for requested transactions of wireless communications. The wireless network has a number of access links for transmitting transactions for wireless communications. A plurality of wireless communications devices request transmission of transactions on the wireless network. A designated priority level is associated with each transaction. In response to the transaction requests, an access control manager in the wireless network schedules transmission of transactions when all of the plurality of access links are occupied, by authorizing a transmission of a transaction of higher priority than another transaction that is being transmitted, and discontinuing the transmission of the transaction of lower priority.

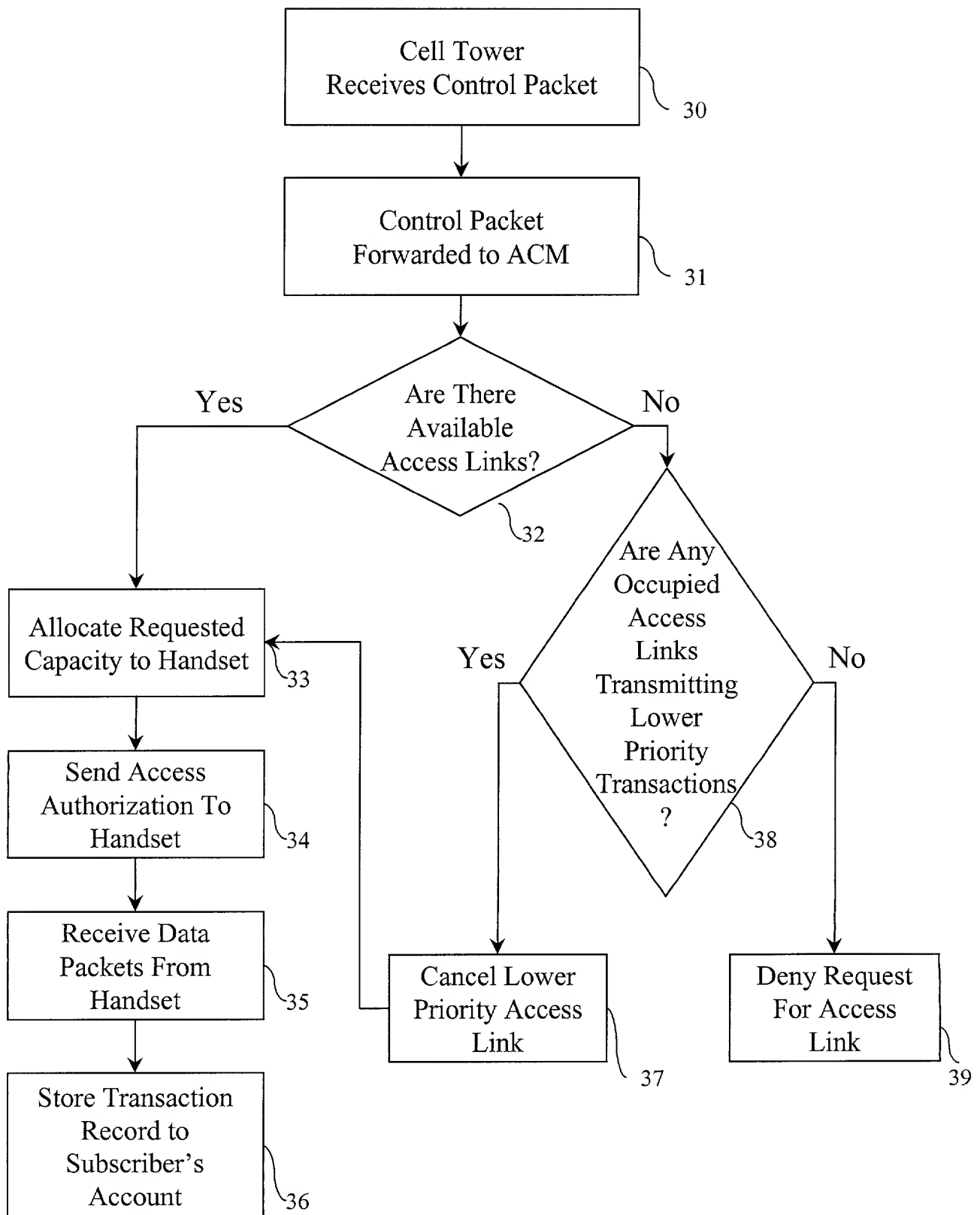
**Figure 1**



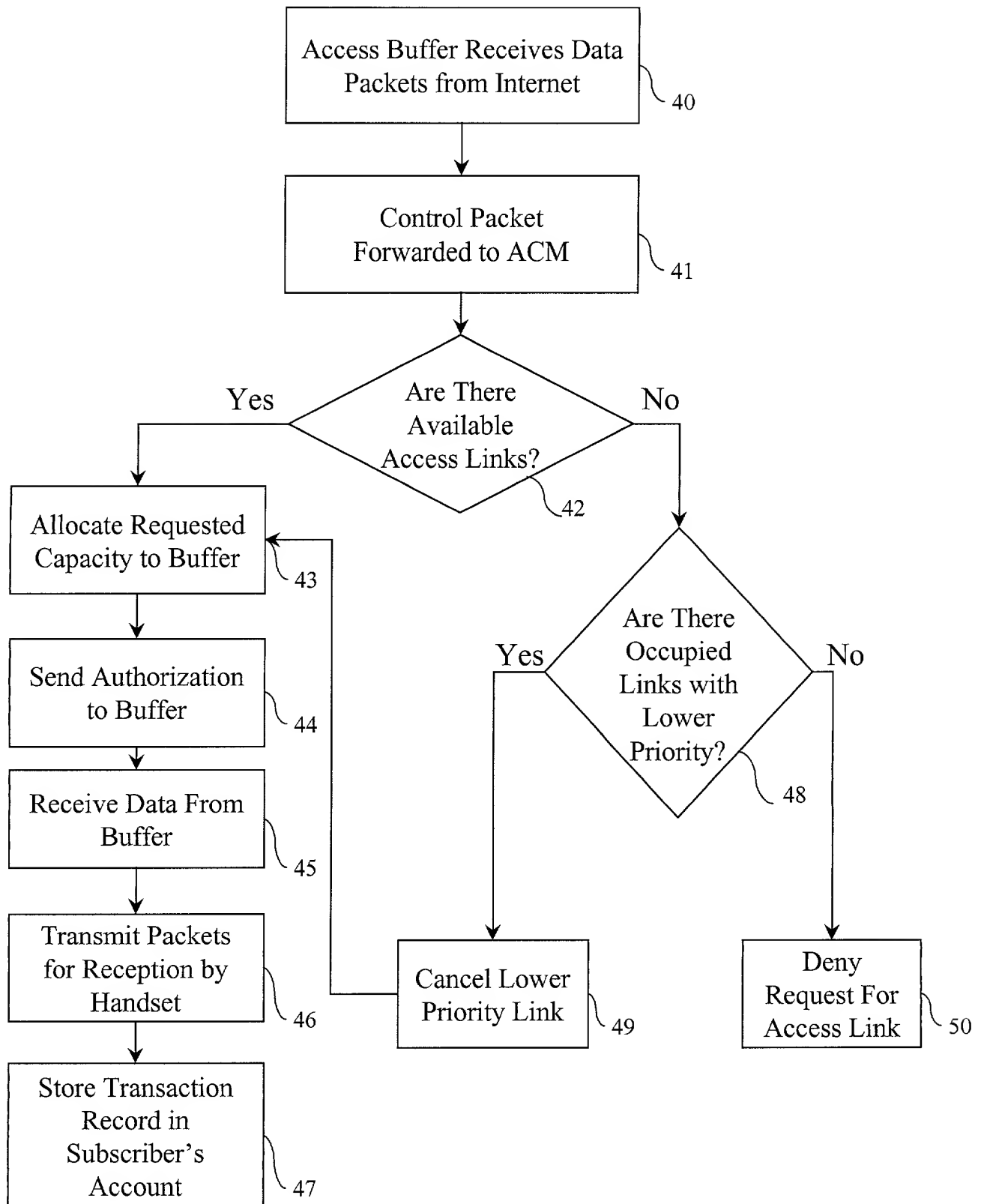
**Figure 2**



**Figure 3**



**Figure 4**



**Figure 5A**

Carrier	x	2	3	4
Application Developer	1	2	3	x
Customer	x	2	3	x
<hr/>				
Available Priority		2	3	

**Figure 5B**

Carrier	x	x	x	4
Application Developer	1	2	3	4
Customer	x	x	x	4
<hr/>				
Available Priority				4

**Figure 5C**

Carrier	1	2	3	4
Application Developer	1	2	3	x
Customer	1	2	3	x
<hr/>				
Available Priority	1	2	3	

**Figure 6A**

Time	Handset Queue (in data packets)	Handset Side	Direction	Cell Side
1	5	Send control packet	→	Receive Control Packet
2	5	—	—	Evaluate Cell Capacity
3	5	—	—	Discontinue Lower Priority Transaction
4	5	Receive Authorization		Send Authorization to Handset
5	4	Send Data Packet	→	Receive Data packet (Store in a buffer)
6	3	Send Data Packet	→	Receive Data Packet
7	2	Send Data Packet	→	Receive Data Packet
8	2	Receive Discontinue Message		Generate Discontinue Message
9	2	—	—	Provide Access to Other Handsets
10	2	Receive Broadcast Message		Broadcast Available Capacity
11	2	Send Control Packet	→	Receive Request
12	2	Receive Authorization		Send Authorization
13	1	Send Data Packet	→	Receive Data Packet
14	0	Send Data Packet	→	Receive Data Packet



Figure 6B

Time	Handset A	Other Handsets	Cell Side
1	Sent Control Packet	Send Control Packet	Receive Control Packets
2	Receive Authorization	_____	Send Authorization to Handset A
3	Send Data Packet	Receive Authorization	Receive Packet from “A”/Send Authorization to “Other”
4	_____	Send Data Packet	Receive Packet from “Other”
5	Send Data Packet	Send Control Packet	Receive Data from “A” Receive Request from “Other”
6	Receive Data Packet	Receive Authorization	Send Incoming Packet to “A”, & Authorization to “Other”
7	_____	Send Data Packet	Receive Data Packet from “Other”

Figure 7

Time	Handset Queue (in data packets)	Handset Side	Direction	Cell Side
1	AAAA	Request Access Level 2	→	Receive Request w/Priority 2
2	BBAAAA	Receive Authorization		Send Authorization
3	BBAAA	Send Data Packet A	→	Receive Data Packet A
4	BBAA	Send Data Packet A	→	Receive Data Packet A
5	BBAA	Receive Discontinue Message		Send Discontinue Message
6	BBAACC	Request Access for Level 1	→	Receive Request for Priority 1
7	BBAAC	Receive Authorization		Send Authorization
8	BBAA	Send Data Packet C	→	Receive Data Packet C

Docket No.

BS99-185

# Declaration and Power of Attorney For Patent Application

## English Language Declaration

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

### SYSTEM AND METHOD FOR DYNAMIC ALLOCATION OF CAPACITY ON WIRELESS NETWORKS

the specification of which

(check one)

☒ is attached hereto.

☐ was filed on \_\_\_\_\_ as United States Application No. or PCT International  
Application Number \_\_\_\_\_  
and was amended on \_\_\_\_\_  
(if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d) or Section 365(b) of any foreign application(s) for patent or inventor's certificate, or Section 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate or PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)			Priority Not Claimed
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	<input type="checkbox"/>
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	<input type="checkbox"/>
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	<input type="checkbox"/>

I hereby claim the benefit under 35 U.S.C. Section 119(e) of any United States provisional application(s) listed below:

\_\_\_\_\_  
(Application Serial No.)

\_\_\_\_\_  
(Filing Date)

\_\_\_\_\_  
(Application Serial No.)

\_\_\_\_\_  
(Filing Date)

\_\_\_\_\_  
(Application Serial No.)

\_\_\_\_\_  
(Filing Date)

I hereby claim the benefit under 35 U. S. C. Section 120 of any United States application(s), or Section 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. Section 112, I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, C. F. R., Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

**08/903,534**

**July 30, 1997**

**PENDING**

\_\_\_\_\_  
(Application Serial No.)

\_\_\_\_\_  
(Filing Date)

\_\_\_\_\_  
(Status)  
(patented, pending, abandoned)

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(Application Serial No.)

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(Filing Date)

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(Status)  
(patented, pending, abandoned)

\_\_\_\_\_  
(Application Serial No.)

\_\_\_\_\_  
(Filing Date)

\_\_\_\_\_  
(Status)  
(patented, pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. *(list name and registration number)*

Michael D. Bednarek, Reg. No. 32,329

Lawrence J. Gotts, Reg. No. 31,163

Aslan Baghdadi, Reg. No. 34,542

Elizabeth M. Roesel, Reg. No. 34,878

David C. Isaacson, Reg. No. 38,500

Michael S. Lee, Reg. No. 41,434

Steven P. Arnheim, Reg. No. 43,475

Poh C. Chua, Reg. No. 44,615

Michele Burris, Reg. No. 44,576

Michael A. Oblon, Reg. No. 42,956

Lawrence D. Eisen, Reg. No. 41,009

all of : **CROWELL & MORING LLP**

1001 Pennsylvania Avenue, N.W.

Washington, D.C. 20004

Send Correspondence to: **Michael A. Oblon, Esq.**  
**CROWELL & MORING LLP**  
 1001 Pennsylvania Avenue, N.W.  
 Washington, D.C. 20004

Direct Telephone Calls to: *(name and telephone number)*

**Michael A. Oblon (202) 624-2714**

Full name of sole or first inventor

**Samuel N. Zellner**

Sole or first inventor's signature

Date

Residence

**Dunwoody, Georgia**

Citizenship

**U.S.A.**

Post Office Address

**2350 Fenhurst Place**

**Dunwoody, Georgia 30338**

Full name of second inventor, if any

**Mark J. Enzmann**

Second inventor's signature

Date

Residence

**Roswell, Georgia**

Citizenship

**U.S.A.**

Post Office Address

**205 Sailwind Court**

**Roswell, Georgia 30076**